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Doc Code:

PTO/SB/21 (09-06)

Approved for use through 03/31/2007. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)		Application Number	10/788,791
		Filing Date	February 27, 2004
		First Named Inventor	Lilip Lau
		Art Unit	3736
		Examiner Name	Samuel G. Gilbert
Total Number of Pages in This Submission		Attorney Docket Number	PARCR 67466

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	<b>Postcard Request for Certificate of Correction</b>
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Reply to Missing Parts/ Incomplete Application	Remarks	
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	24201	
<b>Certificate</b> FEB 08 2007 <b>of Correction</b>		
<b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b>		
Firm Name	FULWIDER PATTON LLP	
Signature		
Printed name	JOHN S. NAGY	
Date	January 31, 2007	Reg. No. 30,664

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:			
Signature			
Typed or printed name	JOHN S. NAGY	Date	January 31, 2007

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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Doc Code:

PTO/SB/17 (07-06)

Approved for use through 01/31/2007. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R.

**FEE TRANSMITTAL  
for FY 2006**☒ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT (\$)** **\$100.00****Complete if Known**

Application Number	10/788,791
Filing Date	February 27, 2004
First Named Inventor	Lilip Lau
Examiner Name	Samuel G. Gilbert
Art Unit	3736
Attorney Docket No.	PARCR 67466

**METHOD OF PAYMENT (check all that apply)**☒ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_☐ Deposit Deposit Account Number: 06-2425 Deposit Account Name: FULWIDER PATTON

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below☐ Charge fee(s) indicated below, except for the filing fee☐ Charge any additional fee(s) or any underpayments of fee(s) under 37 CFR 1.16 and 1.17☐ Credit any overpayments**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**FEE CALCULATION (All the fees below are due upon filing or may be subject to a surcharge.)****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid(\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

**2. EXCESS CLAIM FEES**

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180

Total Claims	Extra	Fee (\$)	Fee Paid (\$)
25	- 20 or HP =	x \$9.00	= \$0.00

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims	Extra	Fee (\$)	Fee Paid (\$)
2	- 3 or HP =	x \$100.00	= \$0.00

HP = highest number of independent claims paid for, if greater than 3.

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listing under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 =	0	/ 50 0 (round up to a whole	x \$125.00	= \$0.00

**4. OTHER FEE(S)**

Non-English specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Certification of Correction

\$100.00

**SUBMITTED BY**

Signature	<i>John S. Nagy</i>	Registration No. (Attorney/Agent)	30,664	Telephone	(310) 824-5555
Name (Print/Type)	JOHN S. NAGY			Date	January 31, 2007

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of

LILIP LAU ET AL.

Patent No.: 7,081,086 B2

Issued: July 25, 2006

Serial No: 10/788,791

Filed: February 27, 2004

For: EXPANDABLE CARDIAC  
HARNESS FOR TREATING  
CONGESTIVE HEART FAILURE

Examiner: Samuel G. Gilbert

Group Art Unit: 3736

Client ID/Matter No: PARCR 67466

January 31, 2007

Los Angeles, California 90045

REQUEST FOR CERTIFICATE OF CORRECTION

Attn: Certificate of Corrections Branch  
Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

The above-identified patent has been found to have an error set forth in the enclosed Certificate of Correction. It is requested that this Certificate of Correction be issued and returned to us. Since this error occurred in the final printing phase of the patent and in the final application, a check in the amount of \$100.00 is enclosed to cover the necessary fees. If any additional fees are needed, please charge Deposit Account No. 06-2425.

02/06/2007 AWONDAF1 00000099 7081086

01 FC:1811

100.00 OP

The error is verifiable in the patent application file as follows:

**ERROR**

New Paragraph to be added on Title Page

--Related U.S.Application Data

This application is a continuation of 10/714,189 filed on Nov. 13, 2003, now Pat. No. 7,077,802, which is a continuation of 10/705,989 filed on Nov. 12, 2003, now Pat. No. 7,097,611, which is a continuation of 10/693,577 filed on Oct. 23, 2003, which is a continuation of 10/314,696 filed on Dec. 9, 2002, which is a continuation of 09/953,493 filed on Sep. 14, 2001, now Pat. No. 6,595,912, which is a continuation of 09,952,774 filed on Sep. 14, 2001, now Pat. No. 6,682,979, which is a continuation of 09/952,145, filed Sep. 10, 2001, now abandoned, which is a continuation of 09/952,116 filed on Sep. 10, 2001, now Pat. No. 6,663,558, which is a continuation of 09/952,081 filed on Sep. 10, 2001, now Pat. No. 6,682,474, which is a continuation of 09/952,074 filed on Sep. 10, 2001, now Pat. No. 6,612,978, which is a continuation of 09/951,923 filed on Sep. 10, 2001, now Pat. No. 6,602,184, which claim the benefit of 09/634,043 filed on Aug. 8, 2000, now Pat. No. 6,702,732--

Also, to be added as a paragraph in the Specification, in Column 1, after the title, before the Background Of The Invention.

**APPLICATION FILE**

Preliminary Amendment dated February 27, 2004, page 5, first paragraph and Filing Receipt dated 2/27/04.

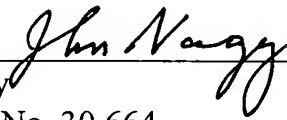
This error occurred in good faith and correction thereof does not involve such changes in the patent as would constitute new matter or would require re-examination. It is requested that a Certificate of Correction be issued and returned to us.

Attached hereto, in duplicate, is Form PTO-1050, with at least one copy being suitable for printing.

A duplicate of this document is attached.

Respectfully submitted,

FULWIDER PATTON LLP

By:   
John S. Nagy  
Registration No. 30,664

JSN:he  
Enclosures

Howard Hughes Center  
6060 Center Drive, Tenth Floor  
Los Angeles, CA 90045  
Telephone: (310) 824-5555  
Facsimile: (310) 824-9696  
Customer No. 24201

139970

**UNITED STATES PATENT AND TRADEMARK OFFICE  
CERTIFICATE OF CORRECTION**

PATENT NO. : 7,081,086 B2  
DATED : July 25, 2006  
INVENTOR(S) : Lilip Lau; Bill Hartigan

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Insert new Paragraph on Title Page, between items (65) and (51):

**--Related U.S. Application Data**

This application is a continuation of 10/714,189 filed on Nov. 13, 2003, now Pat. No. 7,077,802, which is a continuation of 10/705,989 filed on Nov. 12, 2003, now Pat. No. 7,097,611, which is a continuation of 10/693,577 filed on Oct. 23, 2003, which is a continuation of 10/314,696 filed on Dec. 9, 2002, which is a continuation of 09/953,493 filed on Sep. 14, 2001, now Pat. No. 6,595,912, which is a continuation of 09/952,774 filed on Sep. 14, 2001, now Pat. No. 6,682,979, which is a continuation of 09/952,145, filed Sep. 10, 2001, now abandoned, which is a continuation of 09/952,116 filed on Sep. 10, 2001, now Pat. No. 6,663,558, which is a continuation of 09/952,081 filed on Sep. 10, 2001, now Pat. No. 6,682,474, which is a continuation of 09/952,074 filed on Sep. 10, 2001, now Pat. No. 6,612,978, which is a continuation of 09/951,923 filed on Sep. 10, 2001, now Pat. No. 6,602,184, which claim the benefit of 09/634,043 filed on Aug. 8, 2000, now Pat. No. 6,702,732--

**MAILING ADDRESS OF SENDER:**

**John S. Nagy  
Fulwider Patton LLP  
6060 Center Drive, 10<sup>th</sup> Floor  
Los Angeles, CA 90045**

**PATENT NO. 7,081,086 B2**

No. of additional copies

Page 1 of 1

This collection of information is required by 37 CFR 1.322 and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing the burden, should be sent to the Chief of Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450 Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORM TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450

**UNITED STATES PATENT AND TRADEMARK OFFICE  
CERTIFICATE OF CORRECTION**

PATENT NO. : 7,081,086 B2  
DATED : July 25, 2006  
INVENTOR(S) : Lilip Lau; Bill Hartigan

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Insert the same new paragraph in Column 1 before Background Of The Invention:

-- This application is a continuation of 10/714,189 filed on Nov. 13, 2003, now Pat. No. 7,077,802, which is a continuation of 10/705,989 filed on Nov. 12, 2003, now Pat. No. 7,097,611, which is a continuation of 10/693,577 filed on Oct. 23, 2003, which is a continuation of 10/314,696 filed on Dec. 9, 2002, which is a continuation of 09/953,493 filed on Sep. 14, 2001, now Pat. No. 6,595,912, which is a continuation of 09/952,774 filed on Sep. 14, 2001, now Pat. No. 6,682,979, which is a continuation of 09/952,145, filed Sep. 10, 2001, now abandoned, which is a continuation of 09/952,116 filed on Sep. 10, 2001, now Pat. No. 6,663,558, which is a continuation of 09/952,081 filed on Sep. 10, 2001, now Pat. No. 6,682,474, which is a continuation of 09/952,074 filed on Sep. 10, 2001, now Pat. No. 6,612,978, which is a continuation of 09/951,923 filed on Sep. 10, 2001, now Pat. No. 6,602,184, which claim the benefit of 09/634,043 filed on Aug. 8, 2000, now Pat. No. 6,702,732--

154951.1

MAILING ADDRESS OF SENDER:  
**John S. Nagy**  
**Fulwider Patton LLP**  
**6060 Center Drive, 10<sup>th</sup> Floor**  
**Los Angeles, CA 90045**

PATENT NO. 7,081,086 B2

No. of additional copies

Page 2 of 1

This collection of information is required by 37 CFR 1.322 and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing the burden, should be sent to the Chief of Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450 Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORM TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450